Modhumoti Bank PLC.
Your Access to Success

ANTI-MONEY LAUNDERING & TERRORIST FINANCING QUESTIONNAIRE FOR CORRESPONDENT RELATIONSHIP

A. BASIC INFORMATION

1. Name of the Institution : **Modhumoti Bank PLC.**
2. Address : Khandker Tower, (Level-7 & 8), 94 Gulshan Avenue, Gulshan, Dhaka-1212
3. Website Address : https://www.modhumotibankplc.com/
4. Principal Business activities: All types of Commercial Banking Business Activities
5. Regulatory Authority : Bangladesh Bank (Central Bank of Bangladesh)
6. Operational Status : Active- Private Commercial Bank

• Does your Bank maintain a physical presence in the licensing country? ☑ Yes ☐ No

B. OWNERSHIP / MANAGEMENT

7. Is your institution listed in any stock exchange? ☐ Yes ☑ No
   If so, which stock exchange?
8. If ''No'' to Q7, please provide a list of the major shareholders holding more than 10% shares in your institution. N/A
   (None of our shareholder holds more than 10% of total share)

C. ANTI-MONEY LAUNDERING AND TERRORIST FINANCING CONTROLS

Additional information to be incorporated at the end of the questionnaire if your answer is "no" to any of the following questions

I. General AML&CFT Policies, Practices and Procedures:

9. Does your institution have policies and procedures approved by your institution's board or senior management to prevent money laundering and combating terrorist financing? ☑ Yes ☐ No
10. Does your institution have a legal and regulatory compliance program that includes a designated officer that is responsible for coordinating and overseeing the AML/CFT framework? ☑ Yes ☐ No
11. Has your institution developed written policies documenting the processes to prevent, detect and report suspicious transactions? ☑ Yes ☐ No
12. Does your institution have a policy prohibiting accounts/relationships with shell banks? *(A shell bank is defined as a bank incorporated in a jurisdiction in which it has no physical presence and which is unaffiliated with a regulated financial group.)* ☑ Yes ☐ No
13. Does your institution permit the opening of anonymous or numbered accounts by customers? ☐ Yes ☑ No
14. Does your institution have policies to reasonably ensure that they will not conduct transactions with or on behalf of shell banks through any of its accounts or products? ☑ Yes ☐ No
15. Does your institution have policies covering relationships with Politically Exposed Persons (PEP's), their family and close associates? ☑ Yes ☐ No
16. Does your institution have policies and procedures that require keeping all the records related to customer identification and their transactions? ☑ Yes ☐ No
    If ''Yes'', for how long?
    From the inception of the Business.

II. Risk Assessment

17. Does your institution have a risk-based assessment of its customer base and their transactions? ☑ Yes ☐ No
18. Does your institution determine the appropriate level of enhanced due diligence necessary for those categories of customers and transactions for those that have reason(s) to pose a heightened risk of illicit activities at or through the FI? ☑ Yes ☐ No

III. Know Your Customer, Due Diligence and Enhanced Due Diligence

19. Has your institution implemented processes for the identification of Beneficial Ownership (those customers on whose behalf it maintains or operates accounts or conducts transactions)? ☑ Yes ☐ No
20. Does your institution have a requirement to collect information regarding its customers' business activities? ☑ Yes ☐ No
21. Does your institution have a process to review and, where appropriate, update customer information relating to high risk client information? ☑ Yes ☐ No
22. Does your institution have procedures to establish a record for each new customer noting their respective identification documents and 'Know Your Customer' information? ☑ Yes ☐ No
23. Does your institution complete a risk-based assessment to understand the normal and expected transactions of its customers? ☑ Yes ☐ No

IV. Reportable Transactions for Prevention and Detection of ML/TF

24. Does your institution have policies or practices for the identification and reporting of transactions that are required to be reported to the authorities? ☑ Yes ☐ No
25. Cash transaction reporting is mandatory, does your institution have procedures to identify transactions structured to avoid such obligations? ☑ Yes ☐ No
26. Does your institution screen customers and transactions against lists of Persons, entities or countries issued by government/competent authorities? ☑ Yes ☐ No
27. Does your institution have policies to reasonably ensure that it only Operates with correspondent banks that possess licenses to operate in their countries of origin? ☑ Yes ☐ No

V. Transaction Monitoring

28. Does your institution have a monitoring program for unusual and potentially suspicious activity that covers funds transfers and monetary instruments such as traveler's checks, money orders, etc? ☑ Yes ☐ No

VI. AML Training

29. Does your institution provide AML& CFT training to relevant employees of your organization? ☑ Yes ☐ No
30. Does your institution communicate new AML related laws or changes to existing AML related policies or practices to relevant employees? ☑ Yes ☐ No
31. Does your institution provide AML training to relevant third parties if they are employed to carry out some of the functions of your organization? ☑ Yes ☐ No

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Space for additional information:
(Please indicate which question the information is referring to.)

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D. GENERAL

32.	Does the responses provided in this Declaration applies to the following entities: < Head Office and all domestic branches < Overseas branches N/A < Domestic subsidiaries N/A < Overseas subsidiaries N/A	☑ Yes	☐ No

If the response to any of the above is ''No'', please provide a list of the branches and /or subsidiaries that are excluded, including the name of the institution, location and contact details.

I, the undersigned, confirm to the best of my knowledge that the information provided in this questionnaire is current, accurate and representative of the anti-money laundering and anti-terrorist financing policies and procedures that are established in my institution. I also confirm that I am authorized to complete this questionnaire on behalf of my institution.

Signature: Arab F. Rahman

Arab F. Rahman
DMD & CAMLCO
Modhumoti Bank PLC
Head Office, Dhaka

Name : Arab Fazlur Rahman
Designation : DMD & Chief Anti-Money Laundering Compliance Officer
Date : 13.01.2026
Contact No : +88 01918 818 146
Email : arab.rahman@modhumotibankplc.com

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